Form PTO-1449

LIST OF PRIOR ART CITED BY APPLICANT

(Use several sheets if necessary)

OIPE JC56 JUL 01 2005 PATENT & TRADEMARK OFFICE

ATTORNEY DOCKET NO.	SERIAL NO.
AUS920030556US1	10/757,197
APPLICANT DeWitt, Jr. et al.	
FILING DATE January 14, 2004	GROUP ART UNIT 2192 2194

RELATED PATENT APPLICATIONS

EXAMINER INITIAL		APPLICATION NO./ ATTY. DOCKET NO.	APPLICANT	TITLE	FILING DATE
SGN	AA	10/675,777 / AUS920030477US1	DeWitt, Jr. et al.	Method and Apparatus for Counting Instruction Execution and Data Accesses	Sep. 30, 2003
SGN	AB	10/674,604 / AUS920030478US1	DeWitt, Jr. et al.	Method and Apparatus for Selectively Counting Instructions and Data Accesses	Sep. 30, 2003
SGN	AC	10/675,831 / AUS920030479US1	DeWitt, Jr. et al.	Method and Apparatus for Generating Interrupts Upon Execution of Marked Instructions and Upon Access to Marked Memory Locations	Sep. 30, 2003
SGN	AD	10/675,778 / AUS920030480US1	DeWitt, Jr. et al.	Method and Apparatus for Counting Data Accesses and Instruction Executions that Exceed a Threshold	Sep. 30, 2003
SGN	AE	10/675,776 / AUS920030481US1	DeWitt, Jr. et al.	Method and Apparatus for Counting Execution of Specific Instructions and Accesses to Specific Data Locations	Sep. 30, 2003
SGN	AF	10/675,751 / AUS920030482US1	DeWitt, Jr. et al.	Method and Apparatus for Debug Support for Individual Instructions and Memory Locations	Sep. 30, 2003
SGN	AG	10/675,721 / AUS920030483US1	Levine et al.	Method and Apparatus to Autonomically Select Instructions for Selective Counting	Sep. 30, 2003
SGN	AH	10/674,642 / AUS920030484US1	Levine et al.	Method and Apparatus to Autonomically Count Instruction Execution for Applications	Sep. 30, 2003
SGN	AI	10/674,606 / AUS920030485US1	Levine et al.	Method and Apparatus to Autonomically Take an Execution on Specified Instructions	Sep. 30, 2003
SGN	AJ	10/675,783 / AUS920030486US1	Levine et al.	Method and Apparatus to Autonomically Profile Applications	Sep. 30, 2003
SGN	AK	10/675,872 / AUS920030487US1	DeWitt, Jr. et al.	Method and Apparatus for Counting Instruction and Memory Location Ranges	Sep. 30, 2003
SGN	AL	10/757,250 / AUS920030488US1	Levine et al.	Method and Apparatus for Maintaining Performance Monitoring Structures in a Page Table for use in Monitoring Performance of a Computer Program	Jan. 14, 2004
SGN	AM	10/757,186 / AUS920030540US1	DeWitt, Jr. et al.	Method and Apparatus for Qualifying Collection of Performance Monitoring Events by Types of Interrupt when Interrupt Occurs	Jan. 14, 2004
SGN	AN	10/757,198 / AUS920030541US1	DeWitt, Jr. et al.	Method and Apparatus for Counting Interrupts by Type	Jan. 14, 2004
SGN	AO	10/757,192 / AUS920030543US1	DeWitt, Jr. et al.	Method and Apparatus for Providing Pre and Post Handlers for Recording Events	Jan. 14, 2004
SGN	AP	10/757,248 / AUS920030544US1	DeWitt, Jr. et al.	Method and Apparatus for Counting Instruction Execution and Data Accesses to Identify Hot Spots	Jan. 14, 2004
SGN	AQ	10/757,256 / AUS920030545US1	DeWitt, Jr. et al.	Method and Apparatus for Autonomic Detection of Cache "Chase Tail" Conditions and Storage of Instructions/Data in "Chase Tail" Data Structure	Jan. 14, 2004
SGN	AR	10/757,269 / AUS920030549US1	DeWitt, Jr. et al.	Method and Apparatus for Autonomically Initiating Measurement of Secondary Metrics Based on Hardware Counter Values for Primary Metrics	Jan. 14, 2004
SGN	AS	10/757,237 / AUS920030550US1	DeWitt, Jr. et al.	Autonomic Method and Apparatus for Counting Branch Instructions to Improve Branch Predictions	Jan. 14, 2004
SGN	AT	10/757,171 / AUS920030551US1	DeWitt, Jr. et al.	Autonomic Method and Apparatus for Hardware Assist for Patching Code	Jan. 14, 2004
SGN	AU	10/757,156 / AUS920030552US1	DeWitt, Jr. et al.	Autonomic Method and Apparatus for Local Program Code Reorganization Using Branch Count per Instruction Hardware	Jan. 14, 2004
SGN	AV	10/757,212 / AUS920030553US1	DeWitt, Jr. et al.	Method and Apparatus for Generating Interrupts Based on Arithmetic Combinations of Performance Counter Values	Jan. 14, 2004
SGN	AW	10/757,249 / AUS920030554US1	DeWitt, Jr. et al.	Method and Apparatus for Identifying False Cache Line Sharing	Jan. 14, 2004
SGN	AX	10/757,227 / AUS920030555US1	DeWitt, Jr. et al.	Method and Apparatus for Autonomically Moving Cache Entries to Dedicated Storage when False Cache Line Sharing is Detected	Jan. 14, 2004

DATE CONSIDERED	08/29/2006	EXAMINER	/Samuel Neway/

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete If Known	
Application Number	10/757,197
Filing Date	01-14-2004
First Named Inventor	DeWitt, Jr. et al.
Examiner Name	Dam, Tuan Quang
Art Unit	~~2192~~ 2194
Attorney Docket No.	AUS920030556US1

Examiner Initials		Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
SGN	AA	5394529	02-28-1995	Brown, III et al.	
SGN	AB	6233679 B1	05-15-2001	Holmberg	
SGN	AC	5887159	03-23-1999	Burrows	
SGN	AD	6662295 B2	12-09-2003	Yamaura	
SGN	AE	5938760	08-17-1999	Levine et al.	
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SGN	AI	5537572	07-16-1996	Michelsen et al.	
SGN	AJ	5805879	09-08-1998	Hervin et al.	
SGN	AK	6647301 B2	11-11-2003	Sederlund et al.	
SGN	AL	6735666 B1	05-11-2004	Koning	
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SGN	AO	2005/0108483 A1	05-19-2005	Bungo	

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Examiner Initial		Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

NON PATENT LITERATURE

Examiner Initial		Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
SGN	AP	JEONG et al., "Cost Sensitive Cache Replacement Algorithms", June 2002, Second Workshop on Cashing, Coherence and Consistency, NY, pp. 1-14.

Examiner: /Samuel Neway/ Date Considered: 08/29/2006

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SGN	AA	5,797,019	08-18-1998	Levine et al.	
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SGN	AC	2003/0101367 A1	05-29-2003	Bartfai et al.	
SGN	AD	5,113,507	05-12-1992	Jaeckel	
SGN	AE	6,681,387 B1	01-20-2004	Hwu et al.	
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Examiner Initial		Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

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SGN	AG	Wikipedia "JavaServer Pages" downloaded 1/24/06 http://en.wikipedia.org/wiki/JavaServer_Pages
SGN	AH	"Hardware Cycle Based memory Residency", IBM, May 22, 2003, ip.com, IPCOM000012728D, pp. 1-2
SGN	AI	HYDE, "The Art of Assembly Language", 2001, Linux Edition, pp. 247-248, retrieved Mar. 1, 2005 from http://webster.cs.ucr.edu/AoA/Linux/PDFs/0_PDFIndexLinux.html

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Examiner Initials		Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
SGN	AA	5,710,881	01-20-1998	Gupta et al.	
SGN	AB	5,822,763	10-13-1998	Baylor et al.	
SGN	AC	5,928,334	07-27-1999	Mandyam et al.	
SGN	AD	6,094,709	07-25-2000	Baylor et al.	
SGN	AE	6,285,974 B1	09-04-2001	Mandyam et al.	
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SGN	AH	TANENBAUM, "Structured Computer Organization", 1984, Prentice-Hall, Inc., 2nd Edition, pp. 10-12. No month
SGN	AI	TORRELLAS et al., "False Sharing and Spatial Locality in Multiprocessor Caches", June 1994, IEEE Transactions on Computers, Vol. 43, No. 6, pp. 651-663.
SGN	AJ	ROTHMAN et al., "Analysis of Shared Memory Misses and Reference Patterns", 2000, IEEE, pp. 187-198. No month

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number	10757197
Filing Date	2004-01-14
First Named Inventor	DeWitt, Jr. et al.
Art Unit	~~2192~~ 2194
Examiner Name	Dam, Tuan Quang
Attorney Docket Number	AUS920030556US1

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Examiner Initial*	Cite No	Patent Number	Kind Code[1]	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
SGN	1	6944720	B2	2005-09-13	Sperber et al.	
SGN	2	7035996	B2	2006-04-25	Woodall et al.	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)		
	Application Number	10757197
	Filing Date	2004-01-14
	First Named Inventor	DeWitt, Jr. et al.
	Art Unit	~~2182~~ 2194
	Examiner Name	Dam, Tuan Quang
	Attorney Docket Number	AUS920030556US1

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T[5]
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known	
Application Number	10/757,197
Filing Date	01-14-2004
First Named Inventor	DeWitt, Jr. et al.
Examiner Name	Dam, Tuan Quang
Art Unit	~~2182~~ 2194
Attorney Docket No.	AUS920030556US1

Examiner Initials		Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
SGN	AA	6,149,318	11-21-2000	Chase et al.	
SGN	AB	6,185,671 B1	02-06-2001	Pentovski et al.	
SGN	AC	6,247,113 B1	06-12-2001	Jaggar	
SGN	AD	6,460,135 B1	10-01-2002	Suganuma	
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SGN	AF	6,842,850 B2	01-11-2005	Ganapathy et al.	

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Examiner Initial		Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

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Examiner: /Samuel Neway/ Date Considered: 08/29/2006

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LIST OF PRIOR ART CITED BY APPLICANT

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ATTORNEY DOCKET NO.	SERIAL NO.
AUS920030556US1	Not Assigned 10257197
APPLICANT DeWitt, Jr. et al.	
FILING DATE	GROUP ART UNIT Not Assigned

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE
SGN	AA	5,103,394	Apr. 7, 1992	Blasciak	395/575	Dec. 21, 1989
SGN	AB	6,330,662 B1	Dec. 11, 2001	Patel et al.	712/236	Feb. 23, 1999
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SGN	AK	5,987,250	Nov. 16, 1999	Subrahmanyam	395/704	Aug. 21, 1997
SGN	AL	6,192,513 B1	Feb. 20, 2001	Subrahmanyam	717/5	Nov. 2, 1998
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SGN	AO	6,101,524	Aug. 8, 2000	Choi et al.	709/102	Oct. 23, 1997
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DATE CONSIDERED 08/29/2006 EXAMINER /Samuel Neway/

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Form PTO-1449 **LIST OF PRIOR ART CITED BY APPLICANT** *(Use several sheets if necessary)*	ATTORNEY DOCKET NO. AUS920030556US1	SERIAL NO. N t Assigned 10757197
	APPLICANT DeWitt, Jr. et al.	
	FILING DATE	GROUP ART UNIT Not Assigned

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.		PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE
SGN	BB	2002/0129309	Sep. 12, 2002	Floyd et al.	714/724	Dec. 18, 2000
SGN	BC	2001/0032305	Oct. 18, 2001	Barry	712/34	Feb. 23, 2001
SGN	BD	2002/0199179	Dec. 26, 2002	Lavery et al.	717/158	Jun. 21, 2001
SGN	BE	2002/0124237	Sep. 5, 2002	Sprunt et al.	717/127	Dec. 29, 2000
SGN	BF	2002/0147965	Oct. 10, 2002	Swaine et al.	717/124	Feb. 1, 2001
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EXAMINER INITIAL	DOCUMENT NO.		PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES	NO
SGN	BH	JP2000029731	Dec. 8, 1999	Japan	G06F 9/38		X
SGN	BI	JP2000347863	Dec. 15, 2000	Japan	G06F 9/38		X

OTHER PRIOR ART (*including author, title, date, pertinent page, etc.*)

SGN	BJ	Kikuchi, "Parallelization Assist System", Joho Shori, Vol. 34, No. 9, Sept. 1993, pp. 1158-1169. NO ENGLISH TRANSLATION
SGN	BK	Cohen et al., "Hardware-Assisted Characterization of NAS Benchmarks", Cluster Computing, Vol. 4, No. 3, July 2001, pp. 189-196.
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SGN	BM	Iwasawa et al., "Parallelization Method of Fortran DO Loops by Parallelizing Assist System", Transactions of Information Processings Society of Japan, Vol. 36, No. 8, Aug. 1995, pp. 1995-2006.
SGN	BN	Talla et al., "Execution Characteristics of Multimedia Applications on a Pentium II Processor", IEEE International Performance, Computing, and Communications Conference, 19th, Phoenix, Feb. 20-22, 2000, pp. 516-524.
SGN	BO	IBM Research Disclosure Bulletin 444188, "Enable Debuggers as an Objective Performanc Measurement Tool for Software Development Cost Reduction", April 2001, pp. 686-688.

RELATED PATENT APPLICATIONS

EXAMINER INITIAL		APPLICATION NO./ ATTY. DOCKET NO.	APPLICANT	TITLE	FILING DATE
SGN	BP	09/435,069 AT9-99-491	Davidson et al.	Method and Apparatus for Instruction Sampling for Performance Monitoring and Debug	Nov. 4, 1999
SGN	BQ	08/538,071 AUT919950055US1	Gover et al.	Method and System for Selecting and Distinguishing an Event Sequence using an Effective Address in a Pr cessing System	Oct. 2, 1995

DATE CONSIDERED	08/29/2006	EXAMINER	/Samuel Neway/

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (**Not for submission under 37 CFR 1.99**)		
	Application Number	10757197
	Filing Date	2004-01-14
	First Named Inventor	DeWitt, Jr. et al.
	Art Unit	~~2122~~ 2194
	Examiner Name	Dam, Tuan Quang
	Attorney Docket Number	AUS920030556US1

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Examiner Initial*	Cite No	Patent Number	Kind Code[1]	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
SGN	1	6973417	B1	2005-12-06	Maxwell et al.	
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	Application Number	10757197
	Filing Date	2004-01-14
	First Named Inventor	DeWitt, Jr. et al.
	Art Unit	~~2122~~ 2194
	Examiner Name	Dam, Tuan Quang
	Attorney Docket Number	AUS920030556US1

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